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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/800,401	03/05/2001	Zuhua Zhu	NOVA-P015

CONFIRMATION NO. 8091

22877
 FERNANDEZ & ASSOCIATES LLP
 1047 EL CAMINO REAL
 SUITE 201
 MENLO PARK, CA 94025

FORMALITIES LETTER



OC000000006018806

Date Mailed: 04/30/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



07-02-01

SEARCHED
INDEXED
FILED
#3

The Assistant Commissioner of Patents
United States Patent and Trademark Office
Washington, D.C. 20231
ATTN: Box Missing Parts

Re: U.S. Utility Patent Application

Appl. No. 09/800,401; Filed 03/05/2001

For: SEMICONDUCTOR EUTECTIC ALLOY METAL (SEAM) TECHNOLOGY
FOR FABRICATION OF COMPLIANT COMPOSITE SUBSTRATES AND
INTEGRATION OF MATERIALS

Inventor(s): ZHU, et al

Sir:

The following documents in the **Continued Prosecution Application (CPA)** are forwarded herewith for action by the U.S. Patent and Trademark Office:

1. Copy of Notice to File Missing Parts of Non-Provisional Application;
2. An Executed Combined Declaration and Power of Attorney according to 37 CFR § 1.63 (d) (iv) for Patent Application No. 09/378,769, filed on 08/20/1999;
3. Form PTO-1082 (in duplicate);
4. A return post card; and
5. Check No. 700 for \$ 65.00 to cover:
Oath and declaration surcharge: \$ 65.00
Assignment fee: \$.00

It is respectfully requested that the attached postcard be stamped with the receipt date of the above documents and returned to the addressee as soon as possible.

Respectfully submitted,

DENNIS S. FERNANDEZ, ESQ.
Reg. No. 34,160

6/28/2001

Date

FERNANDEZ & ASSOCIATES, LLP
PATENT ATTORNEYS
PO BOX D
MENLO PARK, CA 94026-6204
(650) 325-4999
(650) 325-1203 FAX
EMAIL: *iploft@iploft.com*



Certificate of Mailing By "U.S. Express Mail" Under 37 C.F.R. 1.10(c)
"EXPRESS MAIL" Mailing Label Number: EL766119455US Date of Deposit: 6/28/2001
I hereby certify that this paper and/or fee is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Assistant Commissioner For Patents, Washington, DC 20231.
Name: Maritza Kidd 6/28/2001
Signature Maritza Kidd Signature Date

Docket No. : NOVA-P015

TRANSMITTAL LETTER

Assistant Commissioner of Patents
United States Patent and Trademark Office
Washington, D.C. 20231
ATTN: BOX MISSING PARTS

Re: U.S. Utility Patent Application
Appl. No. 09/800,401; Filed 03/05/2001
For: **SEMICONDUCTOR EUTECTIC ALLOY METAL (SEAM) TECHNOLOGY
FOR FABRICATION OF COMPLIANT COMPOSITE SUBSTRATES AND
INTEGRATION OF MATERIALS**
Inventor(s): **ZHU, et al**

Sir:

Transmitted herewith for filing in the **Continued Prosecution Application (CPA)**, enclosed are the following documents:

An Executed Combined Declaration and Power of Attorney according to 37 CFR § 1.63(d) (iv) for Patent Application No. 09/378,769, filed on 08/20/1999
 Notice to File Missing Parts of Nonprovisional Application

FEES DUE

The fees due for filing the application pursuant to 37 C.F.R. 1.16 and for recording the Assignment, if any, are determined as follow:

CLAIMS					
	No. of Claims		Extra Claims	Rate	Fees
Basic Application Fee (\$710 large entity; \$355 small entity)					\$.00
Total Claims		Minus 20 =		X \$18 = X \$ 9 (small) =	.00
Total Independent Claims		Minus 3 =		X \$78 = X \$39 (small) =	.00
If Multiple Dependent Claims are presented, add \$260.00 or \$130.00 (small)					
Oath of Declaration surcharge					65.00
Assignment Recordation fee \$40.00 paid					.00
TOTAL APPLICATION FEE DUE					\$ 65.00

PAYMENT OF FEES

The full fee due in connection with this communication is
and is provided as follows:

\$ 65.00



The Commissioner is hereby authorized to charge the fees associated with this communication or credit any overpayment to Deposit Account No: 500482. A duplicate copy of this authorization is enclosed.

A Check No. 700 for the above specified full fee is enclosed. However, in case Applicant inadvertently miscalculated any required fee, the Commissioner is hereby authorized to charge the necessary additional amount associated with this communication or credit any overpayment to Deposit Account No: 500482. A duplicate copy of this authorization is enclosed.

This application is filed pursuant to 37 C.F.R. 1.53 in the name of the above-identified Inventor(s).

Please direct all correspondence concerning the above-identified application to the following address:

FERNANDEZ & ASSOCIATES, LLP
PATENT ATTORNEYS
PO BOX D
MENLO PARK, CA 94026-6204

(650) 325-4999
(650) 325-1203 : FAX
EMAIL: *iploft@iploft.com*



22877

PATENT TRADEMARK OFFICE

Respectfully submitted,

A handwritten signature in black ink, appearing to read "DENNIS S. FERNANDEZ, ESQ."

DENNIS S. FERNANDEZ, ESQ.
Reg. No. 34,160

6/28/2001

Date